

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 1405.1041

First Named Inventor or Application Identifier:

Hiroshi YAMADA, et al.

Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO: Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 48 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 11 ] [FIGS. 1-11 ]
4. ☒ Oath or Declaration ..... [ Total Pages: 3 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ verified Statement Claiming Small Entity Status
6. ☐ Applicant Data Sheet. See 37 C.F.R. 1.76
7. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

**18. CORRESPONDENCE ADDRESS**

21171

PATENT TRADEMARK OFFICE

# NEW APPLICATION FEE TRANSMITTAL

Attorney Docket No. 1405.1041

Application Number To be Assigned

Filing Date March 20, 2001

AMOUNT ENCLOSED \$990.00

First Named Inventor Hiroshi YAMADA, et al.

## FEE CALCULATION (fees effective 10/01/00)

| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE     | (5) CALCULATIONS |
|--------|--|------------------|------------------|--------------|------------------|
|        | TOTAL CLAIMS   | 18 - 20 =        | 0                | X \$ 18.00 = | \$               |
|        | INDEPENDENT CLAIMS   | 6 - 3 =          | 3                | X \$ 80.00 = | 240.00           |
|        | MULTIPLE DEPENDENT CLAIMS (any number; if applicable)                            |                  |                  | + \$270.00 = |                  |
|        | <b>BASIC FILING FEE</b>  |                  |                  |              | 710.00           |
|        | Total of above Calculations =  |                  |                  |              | \$ 950.00        |
|        | Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)         |                  |                  |              | +                |
|        | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).           |                  |                  |              | -                |
|        | <b>TOTAL FILING FEE =</b>  |                  |                  |              | \$ 950.00        |
|        | Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) |                  |                  |              | + \$40.00        |
|        | Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))                           |                  |                  |              | +                |
|        | <b>TOTAL FEES DUE =</b>  |                  |                  |              | \$ 990.00        |

## METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time.

## GENERAL AUTHORIZATION

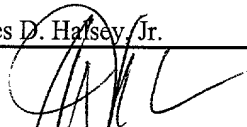
- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No. 19-3935

Deposit Account Name STAAS & HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

|            |   |          |                |
|------------|---|----------|----------------|
| Typed Name | James D. Halsey, Jr.  | Reg. No. | 22,729         |
| Signature  |  | Date     | March 20, 2001 |